

**HAND DELIVERED**

Page 1 of 2  
LEGISLATIVE RESOURCE CENTER

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**2018 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For Use by Members, Officers, and Employees

2019 MAY 15 PM 4:38  
U.S. HOUSE OF REPRESENTATIVES  
OFFICE OF THE CHIEF CLERK

Name: Steven Brett Gutierrez

Daytime Telephone: 202-225-3501

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

|              |   |                                      |  |
|--------------|---|--------------------------------------|--|
| FILER STATUS | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: <u>KY</u>                     | Officer or Employee  |
| REPORT TYPE  | <input type="checkbox"/> 2018 Annual (Due: May 15, 2019)                        | <input type="checkbox"/> Amendment   | Staff Filer Type: (If Applicable)<br><input type="checkbox"/> Shared <input checked="" type="checkbox"/> Principal Assistant |
|              |   | <input type="checkbox"/> Termination | Date of Termination:   |

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

|   |   |   |
|---|---|---|
| A. Did you, your spouse, or your dependent child:<br>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? <input checked="" type="checkbox"/> No <input type="checkbox"/>                       |
| b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?  | <input type="checkbox"/>  | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period? <input checked="" type="checkbox"/> No <input type="checkbox"/>                             |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?                                    | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period? <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? <input checked="" type="checkbox"/> No <input type="checkbox"/>  |
| E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | <b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>  |

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

|   |   |
|---|---|
| IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?                       | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

## SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Steven Brett Guthrie

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Use additional sheets if more space is required.

## SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Steven Brett Gutierrez

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**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: *Suzanne Britt Gardner*

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| BLOCK A<br>Assets and/or Income Sources |  | BLOCK B<br>Value of Asset |   |    |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |   |   |   |   |   |   |   |   |   |   |   | BLOCK D<br>Amount of Income |   |   |    |     |    |   |    |     |      |    |   | BLOCK E<br>Transaction |     |  |  |  |  |  |  |  |  |  |
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| SP.<br>ID.                              | ASSET NAME   | A                         | B | C  | D | E | F | G | H | I | J | K | L | M                         | N | O | P | Q | R | S | T | U | V | W | X | Y                           | Z | I | II | III | IV | V | VI | VII | VIII | IX | X | XI                     | XII |  |  |  |  |  |  |  |  |  |
| 37                                      | 37 Mutual Fund<br>Wells Fargo<br>Big Key<br>American Funds<br>Ameripac A, Indy | X                         |   |    |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |   |    |     |    |   |    |     |      |    |   |                        |     |  |  |  |  |  |  |  |  |  |
| 38                                      | 38 Mutual Fund<br>Wells Fargo<br>First Eagle<br>SUSAN GIBSON<br>income A       | X                         | X | X  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |   |    |     |    |   |    |     |      |    |   |                        |     |  |  |  |  |  |  |  |  |  |
| 39                                      | 39 Whole Life Ins<br>New England Financial<br>New England<br>Wauwick, RI       | X                         |   |    |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |   |    |     |    |   |    |     |      |    |   |                        |     |  |  |  |  |  |  |  |  |  |
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**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: Shawn Britt Cuttre

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| BLOCK A<br>Assets and/or Income Sources |            | BLOCK B<br>Value of Asset |  |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |   |   |   |   |   |   |   |   |   |   |   | BLOCK D<br>Amount of Income |                           |   |    |    |    |    |    |    |    |    |    | BLOCK E<br>Transaction |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|------------|---------------------------|--|---|---|---|---|---|---|---|---|---|---|---------------------------|---|---|---|---|---|---|---|---|---|---|---|-----------------------------|---------------------------|---|----|----|----|----|----|----|----|----|----|------------------------|---------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| SP.<br>D/C,<br>JT                       | ASSET NAME | E/F                       | A  | B | C | D | E | F | G | H | I | J | K | L                         | M   | N | O | P | Q | R | S | T | U | V | W | X                           | Y                         | Z | AA | BB | CC | DD | EE | FF | GG | HH | II | JJ                     | KK                        | LL | MM | NN | OO | PP | QQ | RR | SS | TT | UU | VV | WW | XX | YY | ZZ | AA | BB | CC | DD | EE | FF | GG | HH | II | JJ | KK | LL | MM | NN | OO | PP | QQ | RR | SS | TT | UU | VV | WW | XX | YY | ZZ |
|   |            |                           | None   |   |   |   |   |   |   |   |   |   |   |                           | None  |   |   |   |   |   |   |   |   |   |   |                             | None                      |   |    |    |    |    |    |    |    |    |    |                        | None                      |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$1-\$1,000  |   |   |   |   |   |   |   |   |   |   |                           | \$1-\$200                                     |   |   |   |   |   |   |   |   |   |   |                             | \$1-\$200                 |   |    |    |    |    |    |    |    |    |    |                        | \$1-\$200                 |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$1,001-\$15,000   |   |   |   |   |   |   |   |   |   |   |                           | \$201-\$1,000                                 |   |   |   |   |   |   |   |   |   |   |                             | \$201-\$1,000             |   |    |    |    |    |    |    |    |    |    |                        | \$201-\$1,000             |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |   |                           | \$1,001-\$2,500                               |   |   |   |   |   |   |   |   |   |   |                             | \$1,001-\$2,500           |   |    |    |    |    |    |    |    |    |    |                        | \$1,001-\$2,500           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |   |                           | \$2,501-\$5,000                               |   |   |   |   |   |   |   |   |   |   |                             | \$2,501-\$5,000           |   |    |    |    |    |    |    |    |    |    |                        | \$2,501-\$5,000           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$100,001-\$250,000  |   |   |   |   |   |   |   |   |   |   |                           | \$5,001-\$15,000                              |   |   |   |   |   |   |   |   |   |   |                             | \$5,001-\$15,000          |   |    |    |    |    |    |    |    |    |    |                        | \$5,001-\$15,000          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$250,001-\$500,000  |   |   |   |   |   |   |   |   |   |   |                           | \$15,001-\$50,000                             |   |   |   |   |   |   |   |   |   |   |                             | \$15,001-\$50,000         |   |    |    |    |    |    |    |    |    |    |                        | \$15,001-\$50,000         |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$500,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |   |                           | \$50,001-\$1,000,000                          |   |   |   |   |   |   |   |   |   |   |                             | \$50,001-\$1,000,000      |   |    |    |    |    |    |    |    |    |    |                        | \$50,001-\$1,000,000      |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |   |                           | \$1,000,001-\$5,000,000                       |   |   |   |   |   |   |   |   |   |   |                             | \$1,000,001-\$5,000,000   |   |    |    |    |    |    |    |    |    |    |                        | \$1,000,001-\$5,000,000   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$5,000,001-\$25,000,000   |   |   |   |   |   |   |   |   |   |   |                           | \$25,000,001-\$50,000,000                     |   |   |   |   |   |   |   |   |   |   |                             | \$25,000,001-\$50,000,000 |   |    |    |    |    |    |    |    |    |    |                        | \$25,000,001-\$50,000,000 |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | Over \$50,000,000  |   |   |   |   |   |   |   |   |   |   |                           | Over \$50,000,000                             |   |   |   |   |   |   |   |   |   |   |                             | Over \$50,000,000         |   |    |    |    |    |    |    |    |    |    |                        | Over \$50,000,000         |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | Spouse/DC Asset over \$1,000,000*  |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | NONE   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | DIVIDENDS  |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | RENT   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | INTEREST   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | CAPITAL GAINS  |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | EXCEPTED/BLIND TRUST   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | TAX-DEFERRED   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | None   |   |   |   |   |   |   |   |   |   |   |                           | None  |   |   |   |   |   |   |   |   |   |   |                             | None                      |   |    |    |    |    |    |    |    |    |    |                        | None                      |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$1-\$1,000  |   |   |   |   |   |   |   |   |   |   |                           | \$201-\$1,000                                 |   |   |   |   |   |   |   |   |   |   |                             | \$1,001-\$2,500           |   |    |    |    |    |    |    |    |    |    |                        | \$2,501-\$5,000           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$1,001-\$15,000   |   |   |   |   |   |   |   |   |   |   |                           | \$5,001-\$15,000                              |   |   |   |   |   |   |   |   |   |   |                             | \$15,001-\$50,000         |   |    |    |    |    |    |    |    |    |    |                        | \$50,001-\$100,000        |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |   |                           | \$100,001-\$1,000,000                         |   |   |   |   |   |   |   |   |   |   |                             | \$1,000,001-\$5,000,000   |   |    |    |    |    |    |    |    |    |    |                        | Over \$5,000,000          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | Over \$5,000,000   |   |   |   |   |   |   |   |   |   |   |                           | Spouse/DC Asset with Income over \$1,000,000* |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|   |            |                           | P, S, S(part), or E  |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |                           |   |    |    |    |    |    |    |    |    |    |                        |                           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |

**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: Steven Butch Anderson

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| BLOCK A<br>Assets and/or Income Sources |            | BLOCK B<br>Value of Asset  |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |   |   |   |   |   |   |   |   |   |   |   | BLOCK D<br>Amount of Income |   | BLOCK E<br>Transaction |    |
|---|------------|--|---|---|---|---|---|---|---|---|---|---|---|---------------------------|---|---|---|---|---|---|---|---|---|---|---|-----------------------------|---|------------------------|----|
| Sp.<br>ID.                              | ASSET NAME | A  | B | C | D | E | F | G | H | I | J | K | L | M                         | N | O | P | Q | R | S | T | U | V | W | X | Y                           | Z | AA                     | BB |
|   |            | None   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$1-\$1,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$1,001-\$15,000   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$100,001-\$250,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$250,001-\$500,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$500,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$5,000,001-\$25,000,000   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$25,000,001-\$50,000,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | Over \$50,000,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | Spouse/DC Asset over \$1,000,000*  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | NONE   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | DIVIDENDS  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | RENT   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | INTEREST   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | CAPITAL GAINS  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | EXCEPTED/BLIND TRUST   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | TAX-DEFERRED   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | None   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$1-\$200  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$201-\$1,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$1,001-\$2,500  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$2,501-\$5,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$5,001-\$15,000   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$100,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | Over \$5,000,000   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | Spouse/DC Asset with Income over \$1,000,000*                              |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | P.S. S. (part) or E  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | 3  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | 3  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | 2 Northern Funds<br>No. Six  | X |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | 2 Northern<br>mid cap  | X |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |
|   |            | No mix   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |    |

**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: Steven Britt Conklin

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**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: Steven Bult Endocrin

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## **SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Names from British literature

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**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: Steven Bratt Gunther

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| BLOCK A<br>Assets and/or Income Sources |     | BLOCK B<br>Value of Asset |     |  |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |   |   |   |   |   |   |   |   |   |   |   | BLOCK D<br>Amount of Income |   | BLOCK E<br>Transaction |   |    |    |
|---|-----|---------------------------|-----|--|---|---|---|---|---|---|---|---|---|---------------------------|---|---|---|---|---|---|---|---|---|---|---|-----------------------------|---|------------------------|---|----|----|
| Sp.<br>DC.                              | JT. | ASSET NAME                | E/F | A  | B | C | D | E | F | G | H | I | J | K                         | L | M | N | O | P | Q | R | S | T | U | V | W                           | X | Y                      | Z | AA | BB |
|   |     |                           |     | None   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$1-\$1,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$1,001-\$15,000   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$100,001-\$250,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$250,001-\$500,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$500,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$5,000,001-\$25,000,000   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$25,000,001-\$50,000,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | Over \$50,000,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | Spouse/DC Asset over \$1,000,000*  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | NONE   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | DIVIDENDS  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | RENT   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | INTEREST   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | CAPITAL GAINS  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | EXCEPTED/BLIND TRUST   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | TAX-DEFERRED   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | None   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$1-\$200  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$201-\$1,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$1,001-\$2,500  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$2,501-\$5,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$5,001-\$15,000   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$100,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | Over \$5,000,000   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | Spouse/DC Asset with income over \$1,000,000*                              |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |
|   |     |                           |     | P.S. S.I. or E   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |                        |   |    |    |

**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: Steven Brett Lundergan

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| Assets and/or Income Sources |  |      |  |  |  |  |  |  |  |  |  |  |  | BLOCK A |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|------------------------------|--|------|--|--|--|--|--|--|--|--|--|--|--|---------|----------------|------------------|---|---|---|---|---|---|---|---|---|---|---|
|                              |  |      |  |  |  |  |  |  |  |  |  |  |  | BLOCK B |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      |  |  |  |  |  |  |  |  |  |  |  | BLOCK C |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      |  |  |  |  |  |  |  |  |  |  |  | BLOCK D |                |                  |   |   |   |   |   |   |   |   |   |   |   |
| SP.<br>DC.<br>JT.            | ASSET NAME   | EFF. | Value of Asset   |  |  |  |  |  |  |  |  |  |  |         | Type of Income | Amount of Income |   |   |   |   |   |   |   |   |   |   |   |
|                              | Brett Gantner<br>Gift Trust<br>100% Invested<br>in Trace Die<br>Cast Co., Ky |      | None   |  |  |  |  |  |  |  |  |  |  |         | A              | B                | C | D | E | F | G | H | I | J | K | L | M |
|                              |  |      | \$1-\$1,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$1,001-\$15,000   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$15,001-\$50,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$50,001-\$100,000   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$100,001-\$250,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$250,001-\$500,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$500,001-\$1,000,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$1,000,001-\$5,000,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$5,000,001-\$25,000,000   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$25,000,001-\$50,000,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | Over \$50,000,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | Spouse/DC Asset over \$1,000,000*  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | NONE   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | DIVIDENDS  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | RENT   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | INTEREST   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | CAPITAL GAINS  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | EXCEPTED/BLIND TRUST   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | TAX-DEFERRED   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | None   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$1-\$200  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$201-\$1,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$1,001-\$2,500  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$2,501-\$5,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$5,001-\$15,000   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$15,001-\$50,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$50,001-\$100,000   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$100,001-\$1,000,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | \$1,000,001-\$5,000,000  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | Over \$5,000,000   |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | Spouse/DC Asset with Income over \$1,000,000*                              |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |
|                              |  |      | X  |  |  |  |  |  |  |  |  |  |  |         |                |                  |   |   |   |   |   |   |   |   |   |   |   |

**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: *Steven Brett Crandall*

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| BLOCK A<br>Assets and/or Income Sources |  | BLOCK B<br>Value of Asset                     |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |   |   |   |   |   |   |   |   |   |   |   | BLOCK D<br>Amount of Income |   |    |  |  |  |  |  |  |  |  |  | BLOCK E<br>Transaction |  |  |  |
|---|--|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|---|---|---|---|---|---|---|---|---|---|---|-----------------------------|---|----|--|--|--|--|--|--|--|--|--|------------------------|--|--|--|
| SP.<br>DC.<br>JT.                       | ASSET NAME   | A   | B | C | D | E | F | G | H | I | J | K | L | M                         | N | O | P | Q | R | S | T | U | V | W | X | Y                           | Z | XI |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | None   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$1-\$1,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$1,001-\$15,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$100,001-\$250,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$250,001-\$500,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$500,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$5,000,001-\$25,000,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | \$25,000,001-\$50,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | Over \$50,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | Spouse/DC Asset over \$1,000,000*  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | NONE   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | DIVIDENDS  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | RENT   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | INTEREST   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | CAPITAL GAINS  |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | EXCEPTED/BLIND TRUST   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | TAX-DEFERRED   |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) |   |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | None  |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$1-\$200                                     |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$201-\$1,000                                 |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$1,001-\$2,500                               |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$2,501-\$5,000                               |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$5,001-\$15,000                              |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$15,001-\$50,000                             |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$50,001-\$100,000                            |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$100,001-\$250,000                           |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$250,001-\$500,000                           |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | \$500,001-\$1,000,000                         |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | Over \$50,000,000                             |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | Spouse/DC Asset with Income over \$1,000,000* |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |
|   |  | P, S, S (garn), or E                          |   |   |   |   |   |   |   |   |   |   |   |                           |   |   |   |   |   |   |   |   |   |   |   |                             |   |    |  |  |  |  |  |  |  |  |  |                        |  |  |  |

**SCHEDULE B – TRANSACTIONS**

Name: Strawn Bratt Lundström  
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| Report any purchases, sales, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child or investment in the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. |         |                  |          |      |              |          |   |      |                       |
|--|---------|------------------|----------|------|--------------|----------|---|------|-----------------------|
|  |         |                  |          |      |              |          |   |      |                       |
|  |         |                  |          |      |              |          |   |      |                       |
| <b>ST Mutual Fund Sopen First Eagle Group A</b>  |         |                  |          |      |              |          | <b>Type of Transaction</b>  |      |                       |
| SP   | DC, JT  | Asset            | Purchase | Sale | Partial Sale | Exchange | Check Box if Capital Gain Exceeded \$200                                | Date | Amount of Transaction |
| SP   | Example | Mega Corp. Stock | X        | X    | X            | X        | (Mo/Day/Yr)<br>Daily, or<br>Monthly, or Bi-<br>Weekly, if<br>applicable | A    | B                     |
|  |         |                  |          |      |              |          | \$1,001-\$15,000  | C    | D                     |
|  |         |                  |          |      |              |          | \$15,001-\$50,000   | E    | F                     |
|  |         |                  |          |      |              |          | \$50,001-\$100,000  | G    | H                     |
|  |         |                  |          |      |              |          | \$100,001-\$250,000   | I    | J                     |
|  |         |                  |          |      |              |          | \$250,001-\$500,000   | K    |                       |
|  |         |                  |          |      |              |          | \$500,001-\$1,000,000   |      |                       |
|  |         |                  |          |      |              |          | \$1,000,001-\$5,000,000   |      |                       |
|  |         |                  |          |      |              |          | \$5,000,001-\$25,000,000  |      |                       |
|  |         |                  |          |      |              |          | \$25,000,001-\$50,000,000   |      |                       |
|  |         |                  |          |      |              |          | Over \$50,000,000   |      |                       |
|  |         |                  |          |      |              |          | Over \$1,000,000*<br>(Spouse/DC Asset)                                  |      |                       |

**SCHEDULE B – TRANSACTIONS**

Name: Steven Brett Cuthrie

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**Use additional sheets if more space is required.**

**SCHEDULE B – TRANSACTIONSS**

Name: Steven Britt (and wife)

Page K of 11

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose 'partial sale' as the type of transaction.

**Capital Gains:** If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

**SCHEDULE C – EARNED INCOME**

Name: Steven Barth Gauthier

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDED:** Military pay (such as National Guard or Reserve pay) federal retirement programs and benefits received under the Social Security Act

**INCOME LIMITS and PROHIBITED INCOME:** The 2018 limit on outside earned income for Members and employees compensated at or above the “senior staff” rate was \$28,050. The 2019 limit is \$28,440. In addition, certain types of income (notably honoraria, director’s fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (including a brief of recent for nonresidua)

110

| Source (include date of receipt for honoraria)   | Type   | Amount                                |
|--|--|---------------------------------------|
| Keene State<br>State of Maryland<br>Civil War Roundtable (Oct. 2)<br>Ontario County Board of Education | Approved Teaching Fee<br>Legislative Pension<br>Spouse Speech<br>Spouse Salary | \$2,000<br>\$18,000<br>\$1,000<br>N/A |
| WS Congress  | Salary   | \$174,000                             |

**Use additional sheets if more space is required.**

## SCHEDULE D – LIABILITIES

 Name: Steven Brett Cuttric | Page 17 of 21

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a **revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.

\*Column K is for liabilities held solely by your spouse or dependent child.

| SP,<br>DC, JT | Creditor                     | Date<br>Liability<br>Incurred<br>MO/YR | Type of Liability                        | Amount of Liability |                   |   |                   |   |                    |   |                     |   |                     |   |
|---------------|------------------------------|--|--|---------------------|-------------------|---|-------------------|---|--------------------|---|---------------------|---|---------------------|---|
|               |                              |  |  | A                   | B                 | C | D                 | E | F                  | G | H                   | I | J                   | K |
| Example       | First Bank of Wilmington, DE | 5/16                                   | Mortgage on Rental Property, Dover, DE   |                     | \$10,001-\$15,000 |   | \$15,001-\$50,000 |   | \$50,001-\$100,000 |   | \$100,001-\$250,000 |   | \$250,001-\$500,000 |   |
| Mr            | JPMorgan Chase & Co          | 11/11                                  | Mortgage on Primary Residence            | X                   |                   |   | X                 |   |                    |   |                     |   |                     |   |
| Mr            | Columbus                     |  |  |                     |                   |   |                   |   |                    |   |                     |   |                     |   |
| Mr            | HS Bank 4810                 | 6/16                                   | Co-Signed for Cousin's Primary Residence |                     |                   | X |                   |   |                    |   |                     |   |                     |   |
| Daughter      | Felicia St. Ouis, KY         |  |  |                     |                   |   |                   |   |                    |   |                     |   |                     |   |

## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

| Position              | Name of Organization   |
|-----------------------|--|
| Advisory Board Member | Center for Gifted Studies at Western Ky Univ (uncompensated) |
| Advisory Board Member | Western Ky Univ (uncompensated)                              |
| Board Member          | Trace Die Cast, Inc (uncompensated)                          |

## SCHEDULE F – AGREEMENTS

Name: Sirum Britt Cuthbert | Page 19 of 21

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date  | Parties to Agreement                   | Terms of Agreement   |
|-------|--|--|
| 1/3/9 | Brt H/Cntric & Trace D/cast            | Leave of Absence for Government Service  |
| 1/3/9 | Brt H/Cntric & Trace D/cast            | Reported 401k, non contributing by myself or trace   |
| 1/3/9 | Brt H/Cntric & Trace D/cast            | Reported Deferred Compensation, non contributing by  |
| 1/9   | KY Employee Retirement<br>Sustm (KERS) | Trace while on leave<br>Agreement Between self & KERS - Defined Benefit No Cash Value or assets owned or |

## SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Use additional sheets if more space is required.

## **SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS**

Name: Steven Britt Cawthon  
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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA; 5 U.S.C. § 73342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

| Source                                    | Date(s)   | City of Departure-Destination-City of Return | Lodging? (Y/N) | Food? (Y/N) | Family Member Included? (Y/N) |
|---|-----------|--|----------------|-------------|-------------------------------|
| Government of China (MECEA)<br>Example:   | Aug. 6-11 | DC-Berlin, China-DC                          | Y              | Y           | N                             |
| Habitat for Humanity (charity fundraiser) | Mar. 3-4  | DC-Boston-DC                                 | Y              | Y           | Y                             |

**Use additional sheets if more space is required.**

**SCHEDULE I – PAYMENTS MADE TO CHARITY IN  
LIEU OF HONORARIA**

Name: Steven Brett Cuthbert Page 20 of 21

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

**Use additional sheets if more space is required.**

FILER NOTES  
(Optional)

Name: Steven Brett Cuthrie      Page 21 of 21

| NOTE<br>NUMBER | NOTES   |
|----------------|---|
| 1              | Personal Property of my late mother. My father has 100% control   |
| 2              | Surrender Value of 2 Universal Life Policies from Principal Life Insurance Des Moines, Ia<br>The trust owns the policies  |
| 3              | Total Assets held in 2018 in 529c funds are reported under Dependent Child 3 totals in Schedule "A". Dependent Child 2 was zero'd out and transferred to the same funds in the name of Dependent Child 3. |
|                |   |
|                |   |